Measuring Digital and DOCSIS Performance

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JDSU
Today’s Agenda

- Digital signals
- DOCSIS®
- Testing and Troubleshooting Digital
- Return Path
- Q and A
Acronyms & Terms

- QAM - Quadrature Amplitude Modulation
- Symbols - Collection of Bits
- Symbol Rate - Transmission Speed
- I & Q - Components of QAM data
- Constellation - Graph of QAM Data
- MER - Modulation Error Ratio
- BER - Bit Error Rate
- FEC - Forward Error Correction
Why Go Digital?

- **Efficiency**
  - Source signals are digital
    - Standard and High Definition TV (SDTV, HDTV)
  - High Speed Data and Digital Video is more efficient than analog
    - Transmit equivalent of 6 to 10 analog channels (VCR quality) over one 6 MHz bandwidth

- **Quality**
  - Better Picture and Sound Quality
  - Less Susceptible to noise
    - Error detection and correction is possible

- **Flexibility**
  - Data-casting easily multiplexed into digital signal
  - Higher Data Security
What is Digital?

- **Source and Destination is digital data**
  - Assign unique patterns of 1’s and 0’s
- **Transmission path is via an analog carrier**
  - Choice of modulation is the one that optimizes bandwidth (data versus frequency ‘space’) and resiliency to noise
The Carrier, by the way, is ANALOG Modulation

Analog Content – Analog Carrier

Digital Content – Analog Carrier
Some good news…and some bad news

- **Good**
  - Digital TV, DOCSIS, Digital Voice all use SAME type of RF Modulation – QAM
  - Same measurements apply
  - Signal level, Modulation Error Ratio, Bit Error Rate, in-band frequency response, are all similar, if not identical.

- **Bad**
  - Each service has different threshold of impairments
  - What is noticeable in Digital voice may not be perceptible in DOCSIS, what is bothersome in DOCSIS is different than digital video.
QAM and CATV

- 16 QAM is part of the DOCSIS® 1.0/1.1 upstream specifications
- 64 QAM and 256 QAM is used for both digital video and DOCSIS downstream, allowing more digital data transmission using the same 6 MHz bandwidth
  - Transmit equivalent of 6 to 10 analog channels (VCR quality) over one 6 MHz bandwidth
- Standard for data over Cable
  - Cable systems provide higher signal to noise ratios than over-the-air transmission. A well designed and maintained cable plant meets these QAM signal to noise requirements.
## QAM Data Capacity (Annex B)

<table>
<thead>
<tr>
<th></th>
<th>16 QAM (Upstream)</th>
<th>64 QAM (Downstream)</th>
<th>256 QAM (Downstream)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Symbol Rate (Mspls)</strong></td>
<td>2.560 (@ 3.20 MHz)</td>
<td>5.0569 (@ 6 MHz)</td>
<td>5.3605 (@ 6 MHz)</td>
</tr>
<tr>
<td><strong>Bits per symbol</strong></td>
<td>4</td>
<td>6</td>
<td>8</td>
</tr>
<tr>
<td><strong>Channel Data Rate (Mbps)</strong></td>
<td>10.24</td>
<td>30.3417</td>
<td>42.8843</td>
</tr>
<tr>
<td><strong>Information bit rate (Mbps)</strong></td>
<td>9.0</td>
<td>26.9704</td>
<td>38.8107</td>
</tr>
<tr>
<td><strong>Overhead</strong></td>
<td>12.11%</td>
<td>11.11%</td>
<td>9.5%</td>
</tr>
</tbody>
</table>
DOCSIS®

What is it?
What differs among versions?
What is a DOCSIS Signal??

- Data over a digital QAM signal
  - Digital signal
    - Downstream usually 64 or 256 QAM
    - Upstream usually QPSK or 16 QAM
  - Uses Data Packets
    - Information broken into chunks
  - Asymmetrical communication
    - More Info Downstream
    - Less Info Upstream

Digital “haystack”
DOCSIS® Versions at a Glance

**DOCSIS 1.0 (High Speed Internet Access)**
- 23 million products shipped worldwide as of YE2002
- 228 CM Certified, 29 CMTS Qualified

**DOCSIS 1.1 (Voice, Gaming, Streaming)**
- Interoperable and backwards-compatible with DOCSIS 1.0
- “Quality of Service” and dynamic services, a MUST for PacketCable™
- In the field NOW - 64 CM Certified, 22 CMTS Qualified

**DOCSIS 2.0 (Capacity for Symmetric Services)**
- Interoperable and backwards compatible with DOCSIS 1.x
- More upstream capacity than DOCSIS 1.0 (x6) & DOCSIS 1.1 (x3)
- Improved robustness against interference (A-TDMA and S-CDMA)
- Available NOW – Number of CM & CMTS Qualified growing

**DOCSIS 3.0 (Channel Bonding)**
- Interoperable and backwards compatible with DOCSIS 1.x & 2.0
- Specification released earlier this month – Devices not yet available
DOCSIS 1.1 Overview

- **Interoperable** with DOCSIS 1.0, plus more…
  - Access to bandwidth at high data rates or lower latency adds more value

- **Enhanced** “Quality of Service” (QoS)
  - Guarantees and/or limits for data rates
  - Guarantees for latency

- **Improved security** - designed to reduce possibility of “theft of service, provide secure software downloading.” – BPI+

- **Interoperability** - DOCSIS 1.0 and DOCSIS 1.1 cable modems and CMTSs on the same plant. Better operation and OSS features

- **Transmit Equalization** - more robust transmission

- **Max Modulation**
  - 256 QAM Downstream (~40Mbps)
  - 16 QAM Upstream @ 3.2MHz (~10Mbps)
DOCSIS 2.0 Overview

- Symmetrical services are enabled by DOCSIS 2.0
  - 1.5x greater efficiency
    - operates at 64 QAM
  - 2x wider channels
    - new 6.4 MHz wide channel
- 3x better upstream performance than V1.1
- 6x better upstream performance than V1.0
- DOCSIS 2.0 widens the pipe for IP traffic, allowing cable providers to create more and better services for voice, video, and data
- It does this by using enhanced modulation and improved error correction
- Superior ingress and impulse noise performance
- 100% backward compatible with DOCSIS 1.0/1.1
DOCSIS 3.0 Overview

- Specification late last year
  - DOCSIS 3.0 Interface Specifications (Released December 2006)
  - CPE equipment in development stages

- Downstream data rates of 160 Mbps or higher
  - Channel Bonding 256QAM => ~40Mbps
  - 4 or more channels 4 x 256QAM => ~160 Mbps

- Upstream data rates of 120 Mbps or higher
  - Channel Bonding 64QAM => ~30Mbps
  - 4 or more channels 4 x 64QAM => ~120 Mbps

- Internet Protocol version 6 (IPv6)
  - IPv6 greatly expands the number of IP addresses
    - Expands IP address size from 32 bits to 128 bits
    - IPv6 supports $3.4 \times 10^{38}$ addresses;
    - Colon-Hexadecimal Format $4923:2A1C:0DB8:04F3:AEB5:96F0:E08C:FFEC$
  - 100% backward compatible with DOCSIS 1.0/1.1/2.0
DOCSIS 3.0 – Channel Bonding

**Individual QAM 256 DOCSIS channel**

Versions 1.0/1.1/2.0 used only one channel for upstream and one channel for downstream communications

- **256QAM => ~40Mbps**

**4 Bonded QAM 256 DOCSIS channels**

DOCSIS v3.0 Spec requires devices to be able to bond a minimum of 4 upstream channels into one and 4 downstream channels into one for 4 times increased throughput in both directions.

- The MSO does not have to use all 4 channels, but the devices which are 3.0 compliant must have the ability to bond 4 or more channels in both directions.

- **4 x 256QAM:**
  - **4 x 40Mbps = 160 Mbps**
Digital Testing and Troubleshooting

How?
What does it mean?
QAM Digital Measurements

- Spectrum & Digital Average Power Level
- MER QAM
- Pre/post FEC BER
- Constellation Display

Advanced Tests
- QAM Ingress Under The Carrier
- Group Delay
- In-Channel Frequency Response
- Equalizer Stress (Adaptive Equalization)
Measuring the quality of QAM digital carriers is significantly different than measuring analog carriers. Measurement of digital carriers is important to determine how close the carrier is to failing (how much margin) since there may be no quality degradation.
Digital Average Power Level Measurements

Digital Average Power Measurements and Measurement Bandwidth

- The spectrum analyzer view is an excellent tool to see discreet RF-carriers.
  - Caution is needed when viewing digital modulated signals (haystack). The signal’s level measurement is derived from the selected measurement bandwidth (resolution bandwidth). At an RBW of 300 kHz, a 64QAM - 6 MHz wide digital signal reads in the spectrum analyzer trace 3 dB too low.

- The Average Power principle takes small slices from the integrated RF-energy, summing them together to one total power reading in the LEVEL-mode.

Analog and digital (broadcast) QAM signal. The recommended delta in level should be 6 to 10 dB.
Measuring the Digital “Haystack”

Digital carrier under test (6 MHz BW)

IF Measurement
Bandwidth = 280 kHz

Non measured area based on 280 kHz step size within 6 MHz total Bandwidth

Summing slices of the total integrated energy

Frequency

-2.5 MHz 0 +2.5 MHz

(+/- 140 kHz)
Measuring the Digital “Haystack”

Measuring the Peak Level of the Digital Haystack

Measuring the Average Level of the Digital Haystack

280 kHz Bandwidth power

6 MHz Bandwidth power
Digital – more than just dB’s

MER and Pre and Post BER measurements are key to insuring Digital Quality
Modulation Error Ratio (MER)

- Analogous to S/N
- A measure of how symbols (I vs. Q) are actually placed, compared to ideal placement

\[
MER(\text{dB}) = 20 \times \log \left( \frac{\text{RMS error magnitude}}{\text{average symbol magnitude}} \right)
\]

- Good MER
  - 64 QAM: 28 dB MER
  - 256 QAM: 32 dB MER
More MER

- Modulation Error Ratio (MER) in digital systems is similar to S/N or C/N used in analog systems.
- MER determines how much margin the system has before failure.
  - Analog systems that have a poor C/N show up as a “snowy” picture.
  - A poor MER is not noticeable on the picture right up to the point of system failure - “Cliff Effect”.
- Can’t use the TV as a piece of test equipment anymore.
Digital TV Waterfall Graph

Picture Quality Vs. Impairments

- Digital
- Analog

Picture Quality:
- Much Better
- Still Great
- Good
- Beginning to get Customer Complaints
- Customer Rebellion
- Good Until it Crashes

Increasing Impairments
C/N vs. BER vs. MER

No FEC
Lets have some MER review…

- “MER” is to Digital, what signal to noise is for analog
- MER is affected by high noise, low signal
- Also ANY other continuous impairments
- MER readings are relatively immune to “brief bursty” interference
- MER is a predictor of BER
- 256 QAM needs 29dB or better to work.
- 64 QAM needs 25dB or better to work.
- Add at least 3db to above figures to allow headroom.
Bit Error Rate (BER)

- Bit errors result when the receiver interprets the wrong symbol and hence the wrong bits.
- The number of bit errors versus the number of bits transmitted is Bit Error Rate (BER).
- The more bits that are incorrect, the more the signal will be affected.
- Good signal: BER $10^{-10}$ $(1.0 \times 10^{-9})$
- Threshold for visible degradation: BER $1 \times 10^{-6}$
  - One error in every 1,000,000 bits.
More on BER

- “BER” is HOW many errors per HOW many bits of data
- BER is affected by bursty interference
- Also, any other impairments will adversely affect BER
- Some amount of errors can be corrected by digital receivers.
- POST errors are uncorrected errors – always unacceptable!!!
- Measurement reads in scientific notation
- 1.0 E-9 is the best on a handheld test meter
- Number of bad bits for every good bit.
- **Forward Error Correction when working will output** $10^{-11}$
  - 1 error in 100 billion bits
  - 1 error every 42 minutes
  - MPEG-2 likes good BER
- **FEC will work to about** $10^{-4}$
  - 1 error in 10,000 bits
  - 1 error every 276 uses
- **FEC causes Cliff Effect**
BER Example

- A 256QAM channel transmits at a symbol rate of 5M symbols per second
- Bit rate = 8 bits per symbol X 5M symbol per second = 40M bits per second
- Error Incident = Bit rate X BER = Errors Per Second

<table>
<thead>
<tr>
<th>BER</th>
<th>Error Frequency</th>
<th>Error Incident</th>
</tr>
</thead>
<tbody>
<tr>
<td>10^-12</td>
<td>1 in 1 Trillion bits</td>
<td>25000 secs between errs (6.94 hrs)</td>
</tr>
<tr>
<td>10^-11</td>
<td>1 in 100 Billion bits</td>
<td>2500 secs between errs (41.67 mins)</td>
</tr>
<tr>
<td>10^-10</td>
<td>1 in 10 Billion bits</td>
<td>250 secs between errs (4.167 mins)</td>
</tr>
<tr>
<td>10^-9</td>
<td>1 in 1 Billion bits</td>
<td>25 seconds between errors</td>
</tr>
<tr>
<td>10^-8</td>
<td>1 in 100 Million bits</td>
<td>2.5 seconds between errors</td>
</tr>
<tr>
<td>10^-7</td>
<td>1 in 10 Million bits</td>
<td>4 errors per second</td>
</tr>
<tr>
<td>10^-6</td>
<td>1 in 1 Million bits</td>
<td>40 errors per second</td>
</tr>
<tr>
<td>10^-5</td>
<td>1 in 100 Thousand bits</td>
<td>400 errors per second</td>
</tr>
<tr>
<td>10^-4</td>
<td>1 in 10 Thousand Bits</td>
<td>4000 errors per second</td>
</tr>
<tr>
<td>10^-3</td>
<td>1 in 1 Thousand bits</td>
<td>40000 errors per second</td>
</tr>
</tbody>
</table>
What Causes MER and BER to degrade? “Noise”

- The most common problem with digital-TV and cable modem services is interference under the digital carriers and Noise (stated by most larger operators with experience in digital transmission services).

- Most common sources are:
  - Ingress due to off-air UHF TV channels
  - Intermittent ingress due to pager transmitters or two-way radio base stations.
  - CSO/CTB-intermodulation
QAM Constellations
Constellations, Symbols and Digital Bits

- Each “dot” on constellation represents a unique symbol
- Each unique symbol represents unique digital bits
- Digital data is parsed into data lengths that encode the symbol waveform

[Diagram showing a constellation with symbols represented by dots, with Q and I axes labeled and data points such as 1011, 1001, 0010, 0011, etc.]

16 QAM
Constellation Display

- This is a visual representation of the I and Q constellation plots on a 16 QAM carrier
64 QAM and 256 QAM

- 64 QAM has 8 levels of I and 8 levels of Q making 64 possible locations for the carrier.
- 256 QAM has 16 levels of I and 16 levels of Q making 256 possible locations for the carrier.
Effects of Noise and Interference

- Noise and Interference moves the carrier away from its ideal location causing a spreading of the cluster of dots.
Data that falls outside the decision boundaries will be assumed to be the adjacent data.
Typical errors which originate from the headend:

- **Phase Noise**
  - The constellation appears to be rotating at the extremes while the middle ones remain centered in the decision boundaries. Phase Noise is caused by headend converters.

- **Gain Compression**
  - The outer dots on the constellation are pulled into the center while the middle ones remain centered in the decision boundaries. Gain Compression is caused by filters, IF equalizers, converters, and amplifiers.

- **I Q Imbalance**
  - The constellation is taller than it is wide. This is a difference between the gain of the I and Q channels. I Q Imbalance is caused by baseband amplifiers, filters, or the digital modulator.

- **Carrier Leakage**
System Noise

- A constellation displaying significant noise
- Dots are spread out indicating high noise and most likely significant errors
  - An error occurs when a dot is plotted across a boundary and is placed in the wrong location
- Meter will not lock if too much noise present
Phase Noise

- Display appears to rotate at the extremes
- HE down/up converters can cause phase noise
- Random phase errors cause decreased transmission margin
- Caused by transmitter symbol clock jitter
If the outer dots are pulled into the center while the middle ones are not affected, the signal has gain compression.

Gain compression can be caused by IF and RF amplifiers and filters, up/down converters and IF equalizers.
Coherent Interference

- If the accumulation looks like a “donut”, the problem is coherent interference
  - CTB, CSO, Off-Air Carriers (ingress)

- Sometimes only a couple dots will be misplaced
  - This is usually laser clipping or sweep interference
DOCSIS & IP Testing

What is important?
What can be done?
DOCSIS® Testing - Levels

Verify proper receive level at cable modem

MER shows that downstream is clean and clear with margin

BER shows that downstream is clean and clear of impulse noise

Shows that upstream is properly aligned and CMTS has “ideal” receive level with margin to spare

Downstream Information
- Frequency
- Modulation type
- Channel

Upstream Information
- Frequency
- Modulation type
- Channel BW
- DOCSIS version
DOCSIS – Packet Loss Testing

Check Packet Loss and determine if upstream is good

View Downstream performance

Overall loop information, Up+Downstreams

Upstream Signal to Noise Ratio
DOCSIS – Throughput Testing

Check Throughput for proper speeds

Ensure customer can get what they pay for
### Correlate Data & Voice with RF

Compare Node Outages with your Return Path performance history to QUICKLY identify the cause of the problem – RF or Data layer!

<table>
<thead>
<tr>
<th>Node ID</th>
<th>Node Status</th>
<th>US RF Interface -- CMTS</th>
<th>CMEx Alarms</th>
<th>Current CMTS CMs Online</th>
<th>Cabletools CM Count</th>
<th>Most Recent Trap Time</th>
<th>Trouble Ticket Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>H129</td>
<td>Possible Full Node Outage</td>
<td>Cable6/0-upstream0 (up) -- Chicago CMTS 1</td>
<td>1</td>
<td>0 of 15</td>
<td>29</td>
<td>06/05/02 13:10:56 MDT</td>
<td>BIS01640560</td>
</tr>
<tr>
<td>R144</td>
<td>Possible Full Node Outage</td>
<td>Cable 3/0-upstream1 (up) -- Chicago CMTS 8</td>
<td>1</td>
<td>4 of 70</td>
<td>71</td>
<td>06/05/02 15:12:24 MDT</td>
<td>BIS01481022</td>
</tr>
<tr>
<td>H135</td>
<td>Full or Partial Node Outage</td>
<td>Cable6/0-upstream0 (up) -- Chicago CMTS 14</td>
<td>1</td>
<td>3 of 6</td>
<td>6</td>
<td>06/05/02 13:10:56 MDT</td>
<td>BIS01640623</td>
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<tr>
<td>H124A</td>
<td>Full or Partial Node Outage</td>
<td>Cable2/0-upstream2 (down) -- Chicago CMTS 3</td>
<td>1</td>
<td>60 of 120</td>
<td>125</td>
<td>06/05/02 18:12:51 MDT</td>
<td>BIS12930781</td>
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<td>E119</td>
<td>Full or Partial Node Outage</td>
<td>Cable4/0-upstream0 (up) -- Chicago CMTS 13</td>
<td>1</td>
<td>3 of 21</td>
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<td>06/05/02 20:11:16 MDT</td>
<td>BIS01640623</td>
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<tr>
<td>H128</td>
<td>Full or Partial Node Outage</td>
<td>Cable5/0-upstream1 (up) -- Chicago CMTS 5</td>
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<td>BIS08299910</td>
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<tr>
<td>H131</td>
<td>Partial Node Outage</td>
<td>Cable5/0-upstream3 (down) -- Chicago CMTS 1</td>
<td>1</td>
<td>4 of 6</td>
<td>6</td>
<td>06/05/02 03:10:56 MDT</td>
<td>BIS01640603</td>
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<tr>
<td>R18</td>
<td>Partial Node Outage</td>
<td>Cable2/0-upstream1 (up) -- Chicago CMTS 7</td>
<td>1</td>
<td>79 of 153</td>
<td>162</td>
<td>06/05/02 05:10:56 MDT</td>
<td>BIS01198764</td>
</tr>
</tbody>
</table>

HSD Node Outage Report Courtesy of Auspice Corporation
Return Path

Why is it important?
What can be done?
Why care about Return Path?

- DOCSIS and VoIP is a two-way communication
  - Downstream (Forward Path)
  - Upstream (Return Path)

- Can’t have one without the other

- Can not neglect the return path and expect DOCSIS to work properly
Monitor and Trend the Return Path
Return Path RF Trending Identifies Marginal Nodes

- Average noise floor varies consistently by time of day
- Indication of return path with an ingress problem.
- May be “ok” but maintenance now may prevent future problem

Reverse Path Performance History Report over 48 Hours from one return path
Reverse Path Performance History shows intermittent CPD that varies by time of day. If you only look at snapshot of performance during day you would miss what would affect customer service at night.
**Ingress & Leakage**

Ingress = Egress

- Ingress; largest enemy against interactive reverse path services.
- 95% of all ingress comes from the tap and the customers premises.
- Leakage and ingress are directly coupled problems.
  - A leak ‘out’ can also be an ‘opening’ for ingress to enter the cable system.
- Most ingress comes from houses with tap values of 17 dB or less.
Ingress & Leakage Patrolling For Leakage

Leak signal travels along cable in a standing wave, gradually diminishing.

- Meter picks up a weak signal
- Signal gets stronger
- Signal peaks
- Signal starts to diminish

Field service technician drives along strand

Truck stops, backs up
Ingress & Leakage

- Select fast update rate for detection
- Use directional dipole antenna
- Locate leakage source easy

- Use triangular method to locate leakage source.

Easy walk around
Wrap-Up
Troubleshooting is “Back to the Basics”

- Majority of problems are basic physical layer issues
- Most of the tests remain the same
- Check power
- Check forward levels, analog and digital
- Check forward / reverse ingress
- Do a visual check of connectors / passives
- Replace questionable connectors / passives
- Tighten F-connectors per your company’s installation policy
  - Be very careful not to over tighten connectors on CPE (TVs, VCRs, converters etc.) and crack or damage input RFI integrity
Common problems typically identified in outside plant

- Damaged or missing end-of-line terminators.
- Damaged or missing chassis terminators on directional coupler, splitter or multiple-output amplifier unused ports.
- Loose center conductor seizure screws.
- Unused tap ports not terminated. This is especially critical on lower value taps.
- Unused drop passive ports not terminated.
- Use of so-called self-terminating taps (4 dB two port; 8 dB four port and 10/11 dB eight port) at feeder ends-of-line. Such taps are splitters, and do not terminate the line unless all F ports are properly terminated.
Common problems typically identified in outside plant

- Kinked or damaged cable (including cracked cable, which causes a reflection and ingress).
- Defective or damaged actives or passives (water-damaged, water-filled, cold solder joint, corrosion, loose circuit-board screws, etc.).
- Cable-ready TVs and VCRs connected directly to the drop. (Return loss on most cable-ready devices is poor.)
- Some traps and filters have been found to have poor return loss in the upstream, especially those used for data-only service.
Questions

- Thank you for your time…

- Any Questions???